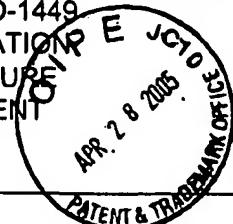


Form PTO-1449  
INFORMATION  
DISCLOSURE  
STATEMENT



Docket No.: B0751/7024

Serial No.: 10/658,135

Applicant: Harold M. Aznoian et al.

Filed: September 8, 2003

Group: 3739

## U.S. PATENT DOCUMENTS

Ex.	Doc. No.	Date	Name	Class	Subcl.	Filed
	Re. 36,289	08/31/1999	Le et al.			
	Des. 279,504	07/02/1985	Tump			
	453,508	06/02/1891	Ruby			
	730,152	06/02/1903	Pitner			
	979,342	12/20/1910	Schaefer			
	1,325,699	12/23/1919	Oesterhaus			
	1,868,308	07/19/1932	Brumfield			
	2,170,599	08/22/1939	Stricklen			
	2,587,364	02/26/1952	Mitchell			
	2,601,852	07/01/1952	Wendt			
	2,621,655	12/16/1952	Olson			
	2,650,593	09/01/1953	Weil et al.			
	2,880,728	04/07/1959	Rights			
	3,013,559	12/19/1961	Thomas			
	3,238,941	03/08/1966	Klein et al.			
	3,470,875	10/07/1969	Johnson			
	3,716,058	02/13/1973	Tanner			
	3,757,781	09/11/1973	Smart			
	3,760,810	09/25/1973	Hoorn			
	3,845,772	11/05/1974	Smith			
	3,858,571	01/07/1975	Rudolph			
	4,126,124	11/21/1978	Miller			
	4,144,876	03/20/1979	Deleo			
	4,164,225	08/14/1979	Johnson et al			
	4,210,148	07/01/1980	Stivala			
	4,216,777	08/12/1980	Pridemore			
	4,226,239	10/07/1980	Polk et al.			
	4,234,111	11/18/1980	Dischinger			
	4,236,470	12/02/1980	Stenson			
	4,291,698	09/29/1981	Fuchs et al.			
	4,345,601	08/24/1982	Fukunda			

Examiner:

B. F. ANAGA

Date considered

5/17/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. An \* indicates references that do not require a copy to be provided under 37 C.F.R. §1.98(d) because a copy was previously cited or submitted in a prior application, which is relied upon under 35 U.S.C. §120.

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U.S. PATENT DOCUMENTS						
Ex.	Doc. No.	Date	Name	Class	Subcl.	Filed
	4,414,908	11/15/1983	Eguchi et al			
	4,415,092	11/15/1983	Boyer			
	4,440,171	04/03/1984	Nomoto et al.			
	4,469,101	09/04/1984	Coleman et al.			
	4,474,174	10/02/1984	Petruzzi			
	4,493,319	01/15/1985	Polk et al.			
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	4,607,620	08/26/1986	Storz			
	4,615,472	10/07/1986	Nash			
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	4,637,816	01/20/1987	Mann			
	4,665,906	05/19/1987	Jervis			
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	4,706,653	11/17/1987	Yamamoto			
	4,721,103	01/26/1988	Freedland			
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	4,759,364	07/26/1988	Boebel			
	4,794,911	01/03/1989	Okada			
	4,825,259	04/25/1989	Berry, Jr.			
	4,841,888	06/27/1989	Mills et al.			
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	4,890,615	01/02/1990	Caspari et al			
	4,898,156	02/06/1990	Gatturna et al.			
	4,899,743	02/13/1990	Nicholson et al.			
	4,923,461	10/18/1994	Caspari et al			
	4,927,428	05/22/1990	Richards			
	4,932,962	06/12/1990	Yoon et al.			
	4,936,823	06/26/1990	Colvin et al.			
	4,946,468	08/07/1990	Li			
	4,950,285	08/21/1990	Wilk			
	4,968,315	11/06/1990	Gatturna			
↓	5,002,042	03/26/1991	Okada			
↓	5,002,550	03/26/1991	Li			

Examiner:	B. FLANAGAN	Date considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. An * indicates references that do not require a copy to be provided under 37 C.F.R. §1.98(d) because a copy was previously cited or submitted in a prior application, which is relied upon under 35 U.S.C. §120.		

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U.S. PATENT DOCUMENTS						
Ex.	Doc. No.	Date	Name	Class	Subcl.	Filed
	5,037,021	08/06/1991	Mills et al.			
	5,037,422	08/06/1991	Hayhurst et al.			
	5,037,433	08/06/1991	Wilk et al			
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	5,046,513	09/10/1991	Gatturna et al.			
	5,078,731	01/07/1992	Hayhurst			
	5,080,663	01/14/1992	Mills et al.			
	5,100,417	03/31/1992	Cerier et al.			
	5,100,418	03/31/1992	Yoon et al.			
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	5,116,349	05/26/1992	Aranyi			
	5,123,913	01/23/1992	Wilk et al.			
	5,125,553	06/30/1992	Oddsen et al.			
	5,147,379	09/15/1992	Sabbaghian et al.			
	5,152,769	10/06/1992	Baber			
	5,163,945	11/17/1992	Ortiz et al.			
	5,171,251	12/15/1992	Bregen et al.			
	5,176,682	01/05/1993	Chow			
	5,193,525	03/16/1993	Silverstein et al.			
	5,199,566	04/06/1993	Ortiz et al.			
	5,203,863	04/20/1993	Bidoia			
	5,207,679	05/04/1993	Li			
	5,207,690	05/04/1993	Rohrabacher			
	5,207,694	05/04/1993	Broome			
	5,211,650	05/18/1993	Node			
	5,213,093	05/25/1993	Swindle			
	5,217,486	06/08/1993	Rice et al.			
	5,220,928	06/22/1993	Oddsen			
	5,224,946	07/06/1993	Hayhurst et al.			
	5,236,445	08/17/1993	Hayhurst et al.			
	5,242,431	09/07/1993	Kristiansen			
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	5,258,015	11/02/1993	Li et al.			
	5,258,016	11/02/1993	Dipoto et al.			

Examiner:	B. F. A. J. A. A. N.	Date considered
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U.S. PATENT DOCUMENTS						
Ex.	Doc. No.	Date	Name	Class	Subcl.	Filed
	5,259,366	11/09/1993	Reydel et al.			
	5,268,001	12/07/1993	Nicholson et al.			
	5,269,789	12/14/1993	Chin et al.			
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	5,273,053	12/28/1993	Pohndorf			
	5,281,236	01/25/1994	Bagnato et al.			
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	5,282,832	02/01/1994	Toso et al.			
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	5,290,296	03/01/1994	Phillips			
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	5,297,536	03/29/1994	Wilk			
	5,304,184	04/19/1994	Hathaway et al			
	5,306,280	04/26/1994	Bregen et al.			
	5,306,309	04/26/1994	Wagner et al			
	5,312,438	05/17/1994	Johnson			
	5,320,630	06/14/1994	Ahmed			
	5,324,308	06/28/1994	Pierce			
	5,330,442	07/19/1994	Green et al.			
	5,330,486	07/19/1994	Wilk			
	5,333,624	08/02/1994	Tovey			
	5,334,200	08/02/1994	Johnson			
	5,336,229	08/09/1994	Nods			
	5,344,060	09/06/1994	Gravener et al.			
	5,354,298	10/11/1994	Lee et al.			
	5,356,413	10/18/1994	Martins et al.			
	5,356,416	10/18/1994	Chu et al.			
	5,364,407	11/15/1994	Poll			
	5,364,408	11/15/1994	Gordon			
	5,368,599	11/29/1994	Hirsch et al.			
	5,368,601	11/29/1994	Sauer et al			
	5,370,662	12/06/1994	Stone et al.			
	5,372,599	12/13/1994	Martins			
	5,372,604	12/13/1994	Trott			
	5,376,101	12/27/1994	Green et al.			

Examiner:	B. FLANAGAN	Date considered
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U.S. PATENT DOCUMENTS						
Ex.	Doc. No.	Date	Name	Class	Subcl.	Filed
	5,380,334	01/10/1995	Torrie et al.			
	5,383,905	01/24/1995	Golds et al.			
	5,389,103	02/14/1995	Melzer et al			
	5,391,173	02/21/1995	Wilk			
	5,391,176	02/21/1995	Torre			
	5,391,182	02/21/1995	Chin			
	5,398,844	03/21/1995	Zaslavsky et al.			
	5,403,346	04/04/1995	Loeser			
	5,403,348	04/04/1995	Bonutti			
	5,405,354	04/11/1995	Sarrett			
	5,405,359	04/11/1995	Pierce			
	5,409,499	04/25/1995	Yi			
	5,411,506	05/02/1995	Golbe et al.			
	5,411,522	05/02/1995	Trott			
	5,411,523	05/02/1995	Goble			
	5,413,585	05/09/1995	Pagedasa			
	5,417,691	05/23/1995	Hayhurst			
	5,417,697	05/23/1995	Wilk et al.			
	5,417,699	05/23/1995	Klein et al.			
	5,423,834	06/13/1995	Ahmed			
	5,423,860	06/13/1995	Lizardi et al.			
	5,431,639	07/11/1995	Shaw			
	5,431,666	07/11/1995	Sauer et al.			
	5,433,722	07/18/1995	Sharpe et al			
	5,437,680	08/01/1995	Yoon			
	5,439,467	08/08/1995	Benderev et al			
	5,443,482	08/22/1995	Stone et al.			
	5,445,167	08/29/1995	Yoon et al.			
	5,447,512	09/05/1995	Wilson et al.			
	5,458,608	10/17/1995	Wortrich			
	5,462,558	10/31/1995	Kolesa et al.			
	5,462,559	10/31/1995	Ahmed			
	5,462,561	10/31/1995	Voda			
	5,464,426	11/07/1995	Bonutti			
	5,466,241	11/14/1995	Leroy et al.			

Examiner:	B. FLANAGAN	Date considered
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5/17/05

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U.S. PATENT DOCUMENTS						
Ex.	Doc. No.	Date	Name	Class	Subcl.	Filed
	5,470,337	11/28/1995	Moss			
	5,474,572	12/12/1995	Hayhurst			
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	5,478,353	12/26/1995	Yoon			
	5,487,746	01/30/1996	Yu et al.			
	5,507,754	04/16/1996	Green et al.			
	5,507,758	04/16/1996	Thomason et al			
	5,507,797	04/16/1996	Suzuki et al.			
	5,514,159	05/07/1996	Matula et al.			
	5,520,700	05/28/1996	Beyar et al			
	5,520,702	05/28/1996	Saur et al			
	5,520,703	05/28/1996	Essig et al			
	5,527,318	06/18/1996	McGarry			
	5,531,763	07/02/1996	Mastri et al.			
	5,542,432	08/06/1996	Slater			
	5,545,170	08/13/1996	Hart			
	5,545,180	08/13/1996	Le et al.			
	5,549,617	08/27/1996	Green et al			
	5,562,686	10/08/1996	Sauer et al.			
	5,562,689	10/08/1996	Green et al.			
	5,569,274	10/29/1996	Rapacki et al.			
	5,569,305	10/29/1996	Bonutti			
	5,584,861	12/17/1996	Swain et al			
	5,584,862	12/17/1996	Bonutti			
	5,591,177	01/07/1997	Lehrer			
	5,591,180	01/07/1997	Hinchliffe			
	5,601,530	02/11/1997	Neilsen et al.			
	5,601,571	02/11/1997	Moss			
	5,609,597	03/11/1997	Lehrer			
	5,618,314	04/08/1997	Harwin et al.			
	5,624,453	04/29/1997	Ahmed			
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	5,630,824	05/20/1997	Hart			
	5,645,553	07/08/1997	Kolesa et al.			
	5,658,313	08/19/1997	Thal et al.			

Examiner:	B. FLANAGAN	Date considered
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U.S. PATENT DOCUMENTS						
Ex.	Doc. No.	Date	Name	Class	Subcl.	Filed
	5,665,109	09/09/1997	Yoon			
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	5,683,464	11/04/1997	Wagner et al.			
	5,693,060	12/02/1997	Martin			
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	5,697,940	12/16/1997	Chu et al.			
	5,702,397	12/30/1997	Goble et al.			
	5,720,765	02/24/1998	Thal			
	5,728,136	03/17/1998	Thal			
	5,730,747	03/24/1998	Ek et al.			
	5,735,793	04/07/1998	Takahashi et al.			
	5,735,877	04/07/1998	Pagedes			
	5,741,281	04/21/1998	Martin			
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	5,752,963	05/19/1998	Allard et al.			
	5,755,730	05/26/1998	Swain et al.			
	5,782,776	07/21/1998	Hani			
	5,788,715	08/04/1998	Watson, Jr. et al.			
	5,792,153	08/11/1998	Swain et al.			
	5,810,853	09/22/1998	Yoon			
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	5,814,056	09/29/1998	Prosst et al			
	5,827,298	10/27/1998	Hart et al.			
	5,827,306	10/27/1998	Yoon			
	5,853,416	12/29/1998	Tolkoff			
	5,860,946	01/19/1999	Hofstatter			
	5,899,921	05/04/1999	Caspari et al.			
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	5,919,208	07/06/1999	Valenti			
	5,931,844	08/03/1999	Thompson et al.			

Examiner:	B. FRANCIS	Date considered
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U.S. PATENT DOCUMENTS						
Ex.	Doc. No.	Date	Name	Class	Subcl.	Filed
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	5,947,983	09/07/1999	Solar et al.			
	5,972,001	10/26/1999	Yoon			
	5,984,917	11/16/1999	Fleischman et al.			
	5,997,556	12/07/1999	Tanner			
	6,001,110	12/14/1999	Adams			
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	6,010,515	01/02/2000	Swain et al.			
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	6,126,677	10/03/2000	Ganaja et al.			
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	6,241,140	06/05/2001	Long et al.			
	6,280,452	08/28/2001	Mears			
	6,358,259	03/19/2002	Swain et al.			
	6,436,108	08/20/2002	Mears			
	6,514,265	02/04/2003	Ho et al.			
	6,551,332	04/22/2003	Nguyen et al.			
	6,607,541	08/19/2003	Gardiner et al.			
	6,736,828	05/18/2004	Adams et al.			

Examiner:	B. FLANAGAN	Date considered	5/17/05
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U.S. PATENT DOCUMENTS							
Ex.		Doc. No.	Date	Name	Class	Subcl.	Filed
<i>BF</i>		2002/0177847	11/28/2002	Long et al.			
<i>BF</i>		2003/0167062	09/04/2003	Gambale et al.			
		2003/0171760	09/11/2003	Gambale et al.			
		2003/0176880	09/18/2003	Long et al.			
		2003/0208209	11/06/2003	Gambale et al.			
		2004/0034371	02/19/2004	Lehman et al.			
		2004/0138704	07/15/2004	Gambale et al.			
<i>BF</i>		2004/0158125	08/12/2004	Aznoian et al.			
<i>BF</i>		2005/0033319	02/10/2005	Gambale et al.			

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Ex.		Doc. No.	Date	Name	Class	Subcl.
<i>BF</i>		DE 3909999A1	09/1990	Storz		
<i>BF</i>		EP0 591991A2	04/1994	Golds et al.		
		EP0 598219A2	05/1994	Martin		
		GB 2165559	04/1986	Mills et al		
		JP 7-136177	05/1995	Ethicon Corp.		
		WO 01/66001	09/2001	Gambale et al.		
		WO 01/66018	09/2001	Gambale et al.		
		WO 01/87144	11/2001	Page et al.		
		WO 01/89370	11/2001	Lehman et al.		
		WO 01/89393	11/2001	Gambale		
		WO 96/09796	04/1996	Gordon et al		
<i>BF</i>		WO 96/20647	07/1996	Epstein		
<i>BF</i>		WO 99/22650	05/1999	Cuschieri		

OTHER DOCUMENTS (including, Author, Title, Date, Pages, Etc.)						
<i>BF</i>		Bard Interventional Products Division, C. R. Bard, Inc., "RapidFire™ Multiple Band Ligator - Information for Use", No. AE1904601/01, Issued 06/96				
<i>BF</i>		Cook® Wilson-Cook Medical GI Endoscopy, Sales Literature, <a href="http://www.wilsoncook.com">www.wilsoncook.com</a>				
<i>BF</i>		Filipi, "Transoral, Flexible Endoscopic Suturing for Treatment of GERD: A Multicenter Trial, <i>Gastrointest Endosc</i> 2001 Apr; 53 (4): 416-422				

Examiner:	<i>B. FLANAGAN</i>	Date considered	<i>5/17/07</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. An \* indicates references that do not require a copy to be provided under 37 C.F.R. §1.98(d) because a copy was previously cited or submitted in a prior application, which is relied upon under 35 U.S.C. §120.

Form PTO-1449 INFORMATION DISCLOSURE STATEMENT	Docket No.: B0751/7024	Serial No.: 10/658,135
	Applicant: Harold M. Aznoian et al.	
	Filed: September 8, 2003	Group: 3739

OTHER DOCUMENTS (including, Author, Title, Date, Pages, Etc.)		
<i>BB</i>		Lehman et al., "Endoscopic Gastroesophageal Suturing: Does Addition of Cautery Aid Plication Persistence?" <i>Digestive Disease Week Poster Board Presentation</i> – May 2000, <i>On-line Abstract February 2000</i>
<i>BB</i>		Martinez-Serna et al., <i>Endoscopic Valvuloplasty for GERD</i> ", <i>Gastrointest Endosc</i> 2000 Nov; 52 (5): 663-70
<i>BB</i>		Sherman et al., "Efficacy of Endoscopic Sphincterotomy and Surgical Sphincteroplasty for Patients with Sphincter of Oddi Dysfunction: Randomized, Prospective Study", <i>Gastrointest Endosc</i> , Vol. 37, No. 2, 1991, p. 249 (Abstract)
<i>BB</i>		Sherman et al., "Endoscopic Sphincterotomy Induced Hemorrhage: Treatment with Multipolar Electrocoagulation", <i>Gastrointest Endosc</i> , Vol. 37, No. 2, 1991, p. 249 (Abstract)

Examiner:	<i>B. F. A. S. A. A. N.</i>	Date considered
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